

# Swiss Trustees & FinIA / FinSA

## How to implement the Financial Institution Act (FinIA) and the Financial Services Act (FinSA)?

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### Justine Markovitz,

Partner,  
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### Alexandre von Heeren,

Chairman,  
Swiss Association of Trust  
Companies (SATC)

### Jean-Samuel Hofmann,

Senior Legal Adviser,  
Banque Pictet & Cie SA, Geneva

### 14.00 Trustees under FinIA and FinSA

- Trustees in the new Swiss regulatory framework
- Swiss trustees / foreign trustees
- Structural and organisational requirements for authorisation
- Trustees as investors
- Outsourcing
- Deadlines

Frédérique Bensahel

### 14.50 Regulation of trustees in the UK and other Common Law jurisdictions: comparison with the new Swiss regulation of trustees

Justine Markovitz

### 15.20 Trustees - organisational requirements and audit

- Selected compliance topics
- Organisational requirements
- Audit areas
- Audit process

Emmanuel Genequand

16.10 Coffee break

### 16.30 Trustees surveillance

- Supervisory Organisation (SO): their licensing, organisation and supervision activities
- What to expect from SOs re organisation and cost
- Code of Conduct for Trustees: requirements as per the Financial Institutions Ordinance and as per the SO
- Regulation of non-Trustee activities offered by Trustees (group surveillance)

Alexandre von Heeren

### 17.40 How Swiss banks may consider trustees according to FinSA and FinIA?

- Reminder of the key rules regarding client classification and trustees authorisation
- Banks' possible approach on Swiss or foreign trustees authorisation?
- How to classify Swiss or foreign trustees?

Jean-Samuel Hofmann

18.00 End of the seminar

**GENEVA, MONDAY 3 DECEMBER 2018, HOTEL LE RICHEMOND, 14.00-17.30**

#### INFORMATION & REGISTRATION

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#### FEE

580 CHF (+7.7% VAT)  
Additional participants from the same company: -50%

#### PAYMENT

An invoice will be sent to you by email following registration. Payment is made by bank transfer or credit card.

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